



PTO/SB/05 (12/97)

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Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION **TRANSMITTAL**

Attorney Docket No. P1570 Total Pages First Named Inventor or Application Identifier Dan Kikinis

Only for new nonprovisional applications under 37 CFR 1.53(b))

ADDITION ELEMENTS

Express Mail Label No.

EI061741978US

**Assistant Commissioner for Patents** 

| See MPEP chapter 600 concerning utility patent applie  | cation contents.  | ADDRESS              | STO: Box P<br>Wash | Patent A <sub>l</sub><br>ington, l | oplication USC 20231 |  |  |  |
|--|---|----------------------|--------------------|------------------------------------|----------------------|--|--|--|
| 1. Fee Transmittal Form (Submit an original, and a duplicate for fee (Submit an original, and a duplicate for fee (preferred arrangement set forth below)  Descriptive title of the Invention  Cross References to Related Applicate and Regarding Fed sponsore  Reference to Microfiche Appendix  Background of the Invention  Brief Summary of the Invention  Brief Description of the Drawings (in Detailed Description  Claim(s)  Abstract of the Disclosure  Drawing(s) (35 USC 113) [Total States of the Disclosure of the Dis | 6. Microfiche Computer Program (Appendix)  7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  a. Computer Readable Copy  b. Paper Copy (identical to computer copy)  c. Statement verifying identity of above copies  ACCOMPANYING APPLICATION PARTS  8. Assignment Papers (cover sheet & document(s))  9. 37 CFR 3.73(b) Statement (when there is an assignee)  10. English Translation Document (if applicable)  11. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations  12. Preliminary Amendment  13. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  14. Small Entity Statement filed in prior application, Statement(s) Status still proper and desired  15. (if foreign priority is claimed)  16. Other: |                      |                    |                                    |                      |  |  |  |
| inventor(s) named in the see 37 CFR 1.63(d)(2)  Incorporation By Reference (useable in the see 37 CFR 1.63(d)(2)  The entire disclosure of the prior apport copy of the oath or declaration is sure is considered as being part of the disaccompanying application and is her  |   |                      |                    |                                    |                      |  |  |  |
| reference therein.   | anaranriata hay an  | od cupply the requis | ito informatio     | n.                                 |                      |  |  |  |
| 17. If a CONTINUING APPLICATION, check  Continuation Divisional  | <i>appropriate box an</i><br>Continuation-in-part (C  |                      | pplication No: _   |                                    | ,                    |  |  |  |
|  |   |                      |                    |                                    | ·                    |  |  |  |
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| Donald R. Boys - C   | Donald R. Boys - Central Coast Patent Agency  |                      |                    |                                    |                      |  |  |  |
| Signature-   |   |                      |                    |                                    |                      |  |  |  |
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| COUNTRY United States  | TELEPHONE   | (831) 726-           | -1457              | FAX                                | (831) 726-3475       |  |  |  |

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PTO/SB/17 (12-97)
Approved for use through 9/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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|   | TRANSMITTAL          | Complete if Known      |       |  |
|---|----------------------|------------------------|-------|--|
| EEE TO A NOM                                |                      | Application Number     | NA    |  |
| FEE IRANSI                                  |                      | Filing Date            | NA    |  |
|   | First Named Inventor | Dan Kikinis            |       |  |
| Note: Effective October                     |                      | Group Art Unit         | NA    |  |
| Patent fees are subject to annual revision. |                      | Examiner Name          | NA    |  |
| TOTAL AMOUNT OF PAYMENT                     | (\$) 395.00          | Attorney Docket Number | P1570 |  |

| METHOD OF PAYMENT (check one)  | FEE CALCULATION (continued) |  |  |          |  |
|--|-----------------------------|--|--|----------|--|
| The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  Deposit | Large Entit                 | IONAL FE<br>Small Entity<br>Fee Fee<br>Code (\$) |  | Fee Paid |  |
| Account<br>Number  | 105 130                     | 205 65   | Surcharge - late filing fee or oath  |          |  |
| Deposit<br>Account<br>Name   | 127 50                      | 227 25   | Surcharge - late provisional filing fee or cover sheet.                    |          |  |
| Charge Any Additional Charge the Issue Fee Set in  | 139 130                     | 139 130  | Non-English specification  |          |  |
| Fee Required Under 37 CFR 1.18 at the Mailing of the Notice of Allowance                                 | 147 2,520                   | 147 2,520  | For filing a request for reexamination                                     |          |  |
| Total Control and 1.17   | 112 920*                    | 112 920*   | Requesting publication of SIR prior to Examiner action                     |          |  |
| 2.  Payment Enclosed:  | 113 1,840*                  | 113 1,840*                                       | Requesting publication of SIR after<br>Examiner action                     |          |  |
|  | 115 110                     | 215 55   | Extension for reply within first month                                     |          |  |
| FEE CALCULATION  | ⊶116 . 400                  | 216 200  | Extension for reply within second month                                    |          |  |
| 1. FILING FEE  | 117 950                     | 217 475  | Extension for reply within third month                                     |          |  |
| Large Entity Small Entity  | 118 1,510                   | 218 755  | Extension for reply within fourth month                                    |          |  |
| Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)   | 128 2,060                   | 228 1,030  | Extension for reply within fifth month                                     |          |  |
| 101 790 201 395 Utility filing fee 395.00  | 119 310                     | 219 155  | Notice of Appeal   |          |  |
| 106 330 206 165 Design filing fee  | 120 310                     | 220 155  | Filing a brief in support of an appeal                                     |          |  |
| 107 540 207 270 Plant filing fee   | 121 270                     | 221 135  | Request for oral hearing   |          |  |
| 108 790 208 395 Reissue filing fee   | 138 1,510                   | 138 1,510  | Petition to institute a public use proceeding                              |          |  |
| 114 150 214 75 Provisional filing fee  | 140 110                     | 240 55   | Petition to revive - unavoidable   | i        |  |
| SUBTOTAL (1) (\$) 395.00   | 141 1,320                   | 241 660  | Petition to revive - unintentional   |          |  |
|  | 142 1,320                   | 242 660  | Utility issue fee (or reissue)   |          |  |
| 2. CLAIMS Extra Fee from Fee Paid  | 143 450                     | 243 225  | Design issue fee   |          |  |
| Total Claims $10$ -20 = $0$ X $11$ = $0$ .   | 144 670                     | 244 335  | Plant issue fee  |          |  |
| Independent $3 - 3 = 0 \times 41 = 0$ .  | 122 130                     | 122 130  | Petitions to the Commissioner  |          |  |
| Multiple Dependent Claims X X  | 123 50                      | 123 50   | Petitions related to provisional applications                              |          |  |
| Large Entity Small Entity  | 126 240                     | 126 240  | Submission of Information Disclosure Stmt                                  |          |  |
| Fee Fee Fee Fee Description Code (\$) Code (\$)  | 581 40                      | 581 40   | Recording each patent assignment per property (times number of properties) |          |  |
| 103 22 203 11 Claims in excess of 20   | 146 790                     | 246 395  | Filing a submission after final rejection (37 CFR 1.129(a))                | -        |  |
| 102 82 202 41 Independent claims in excess of 3<br>104 270 204 135 Multiple dependent claim              | 149 790                     | 249 395  | For each additional invention to be examined (37 CFR 1.129(b))             |          |  |
| 109 82 209 41 Reissue independent claims over original patent  | Other fee (sp               | ecify)   |  |          |  |
| 110 22 210 11 Reissue claims in excess of 20 and over original patent                                    | Other fee (s                | ecify)   |  |          |  |
| SUBTOTAL (2) (\$) O.   | · Reduced b                 | y Basic Filing                                   | Fee Paid SUBTOTAL (3) (\$)   | 0.       |  |

SUBMITTED BY Complete (if applicable) Typed or Donald R. Boys 35,074 Reg. Number **Printed Name** Deposit Account Date 10/19/98 Signature User ID

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## **Certificate of Express Mailing**

"Express Mail" Mailing Label Number: EI061741978US

Date of Deposit: 10/19/98

Re: Case: P1570 Serial Number: NA

Filed: NA

Subject: Method and Apparatus for Configuration of an Internet Appliance

I hereby certify that the attached papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and addressed to the Commissioner of Patents and Trademarks, Washington D.C. 20231

- 1. Utility patent application transmittal.
- 2. Fee transmittal.
- 3. Duplicate fee transmittal.
- 4. 19 sheets of specification.
- 5. 2 sheets of drawings.
- 6. Verified statement claiming small entity status for small business.
- 7. Check for fees in the amount of 395.00.
- 8. Certificate of express mailing.
- 9. Postcard listing contents.
- 10. Declaration and Power of Attorney.

Mark A. Boys

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)